

25th Session of CIE, San Diego, CA, USA, June 26-28, 2003

Obtaining Spectral Data for Colorimetry

Yoshi Ohno
Optical Technology Division
National Institute of Standards and Technology
Gaithersburg, Maryland, USA

Spectral Color Measurement (for objects and light sources)

Basis:

$$X = k \int_{\lambda} \bar{x}(\lambda) d\lambda$$

$$Y = k \int_{\lambda} \bar{y}(\lambda) d\lambda$$

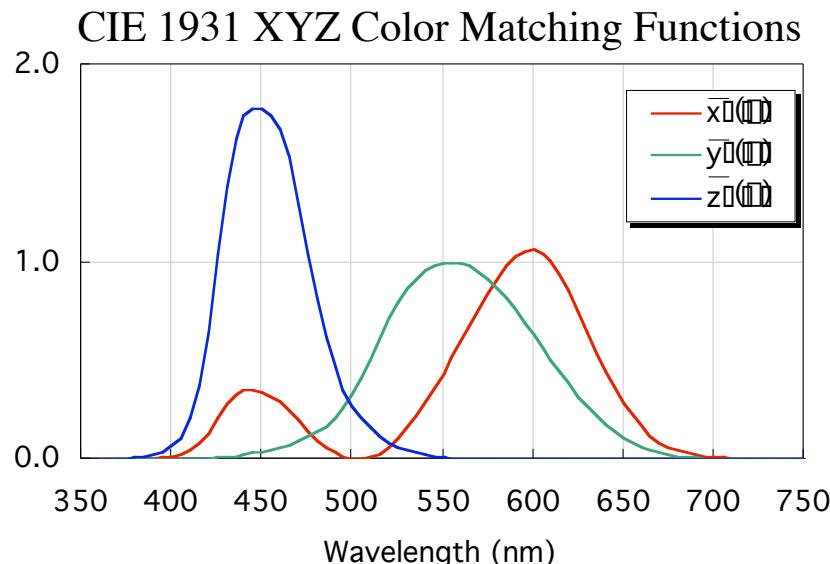
$$Z = k \int_{\lambda} \bar{z}(\lambda) d\lambda$$

Real measurement:

$$X = k \sum_{i=0}^n \bar{x}_i \Delta \lambda_i$$

$$Y = k \sum_{i=0}^n \bar{y}_i \Delta \lambda_i$$

$$Z = k \sum_{i=0}^n \bar{z}_i \Delta \lambda_i$$



Question often asked:
What range of $\Delta\lambda$ acceptable?
(5 nm, 10 nm, or 20 nm ??)

Relevant documents:

CIE 15.2 / 15.3 (TC1-48),
ASTM E308, TC1-38, TC1-57

Sources of errors (components of uncertainty) in spectral measurements

- Scanning interval (data interval) $\Delta\lambda$
- Bandpass of monochromator
- Wavelength errors (uncertainties)
- Noise of monochromator signal
- Stray light of monochromator
- Geometric conditions

$\Delta\lambda$ is an important parameter, but often discussed, only in terms of the calculation errors by itself.

$\Delta\lambda$ strongly affects other sources of errors.

As a result, wrong recommendations are often proposed...

Simulation Analysis

43 object color samples

- 14 samples used in CIE 13.3 [3]
- 11 samples of BCRA tiles
- 18 samples of Macbeth ColorChecker (excluding 6 achromatic samples)

9 light source samples

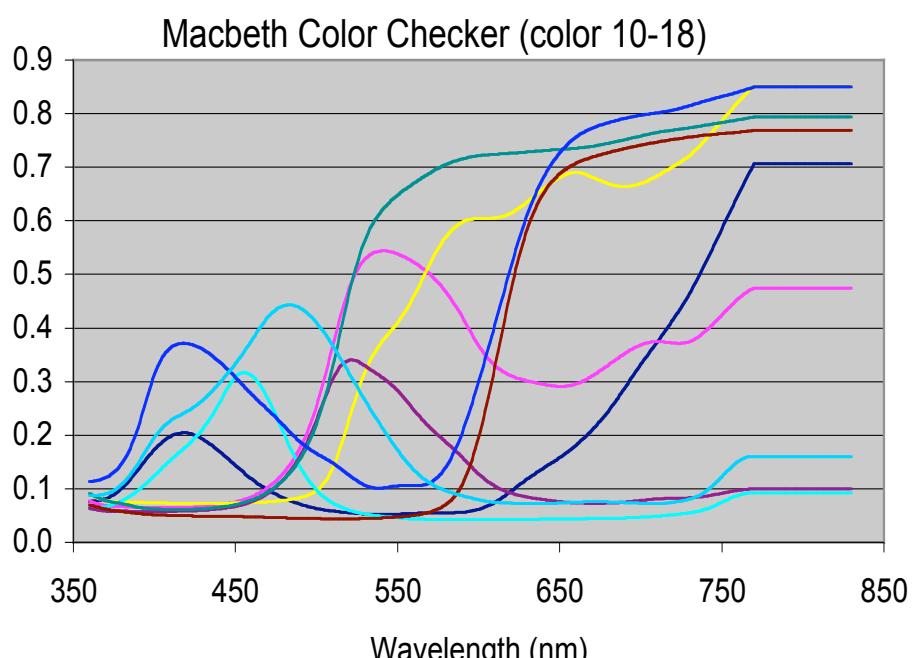
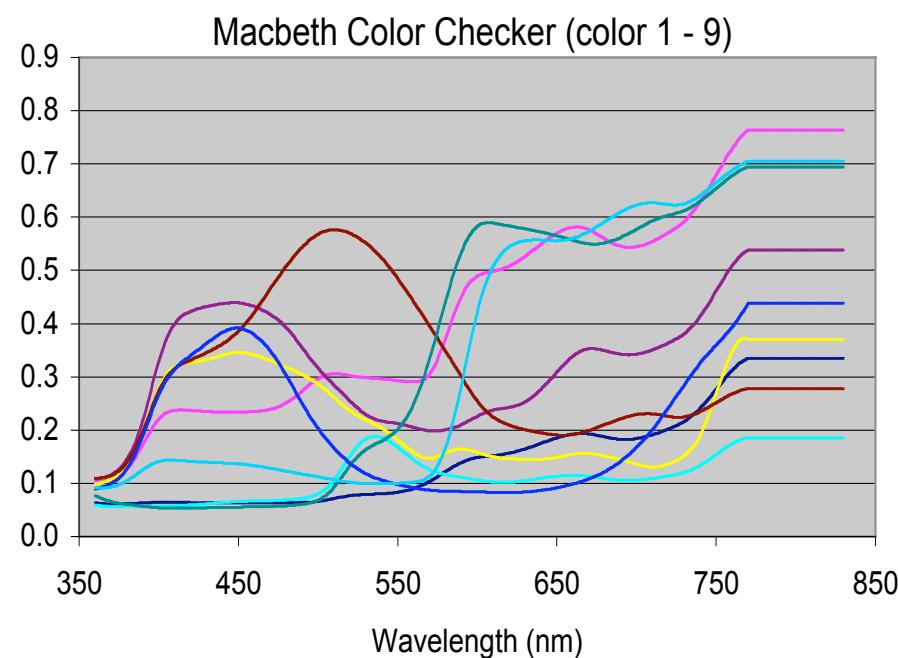
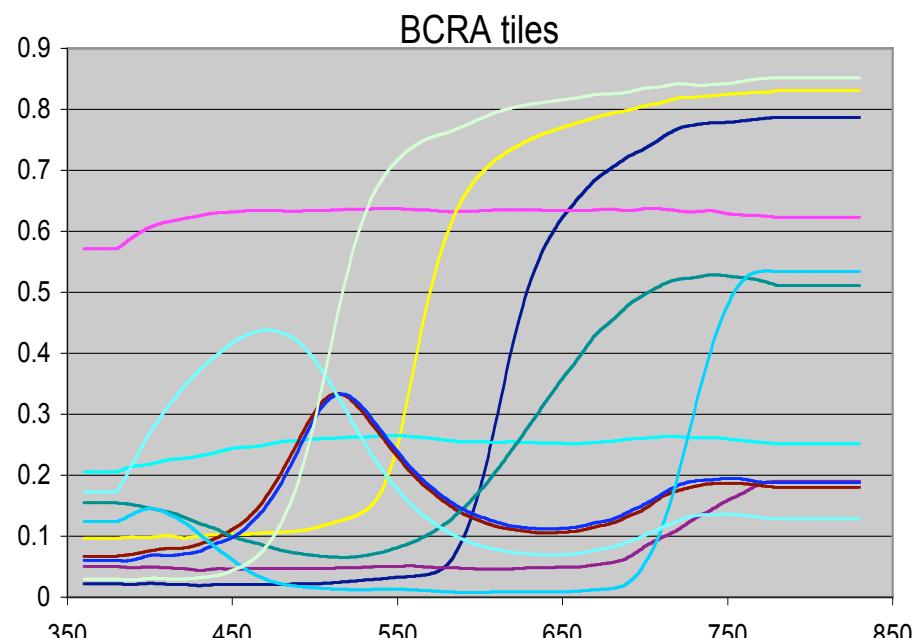
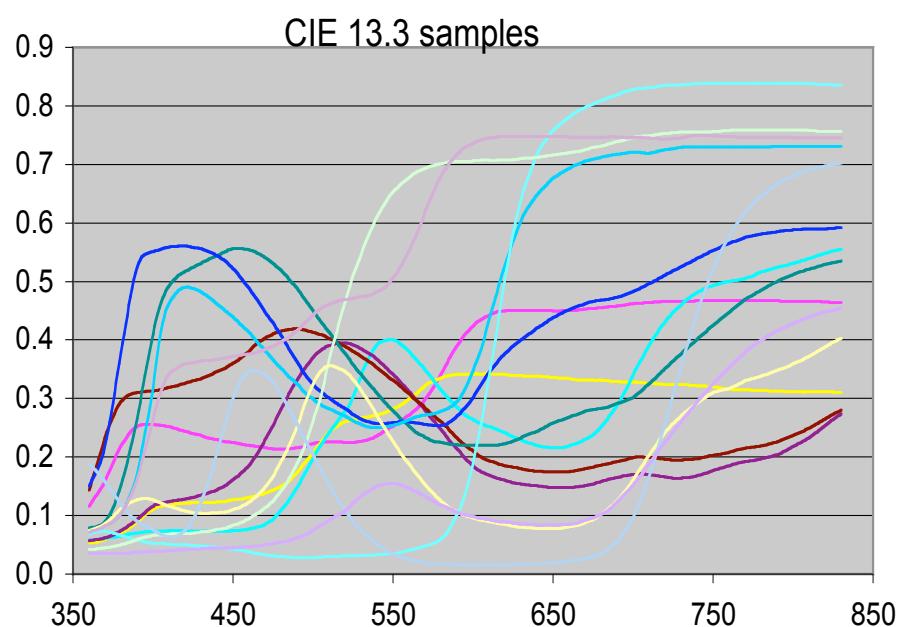
- Planckian (3000 K), Cool white FL (4300 K), Triphosphor FL(3260 K)
- LED (450 nm, 510 nm, 630 nm)
- LCD (blue, green, red)

Results presented in color differences

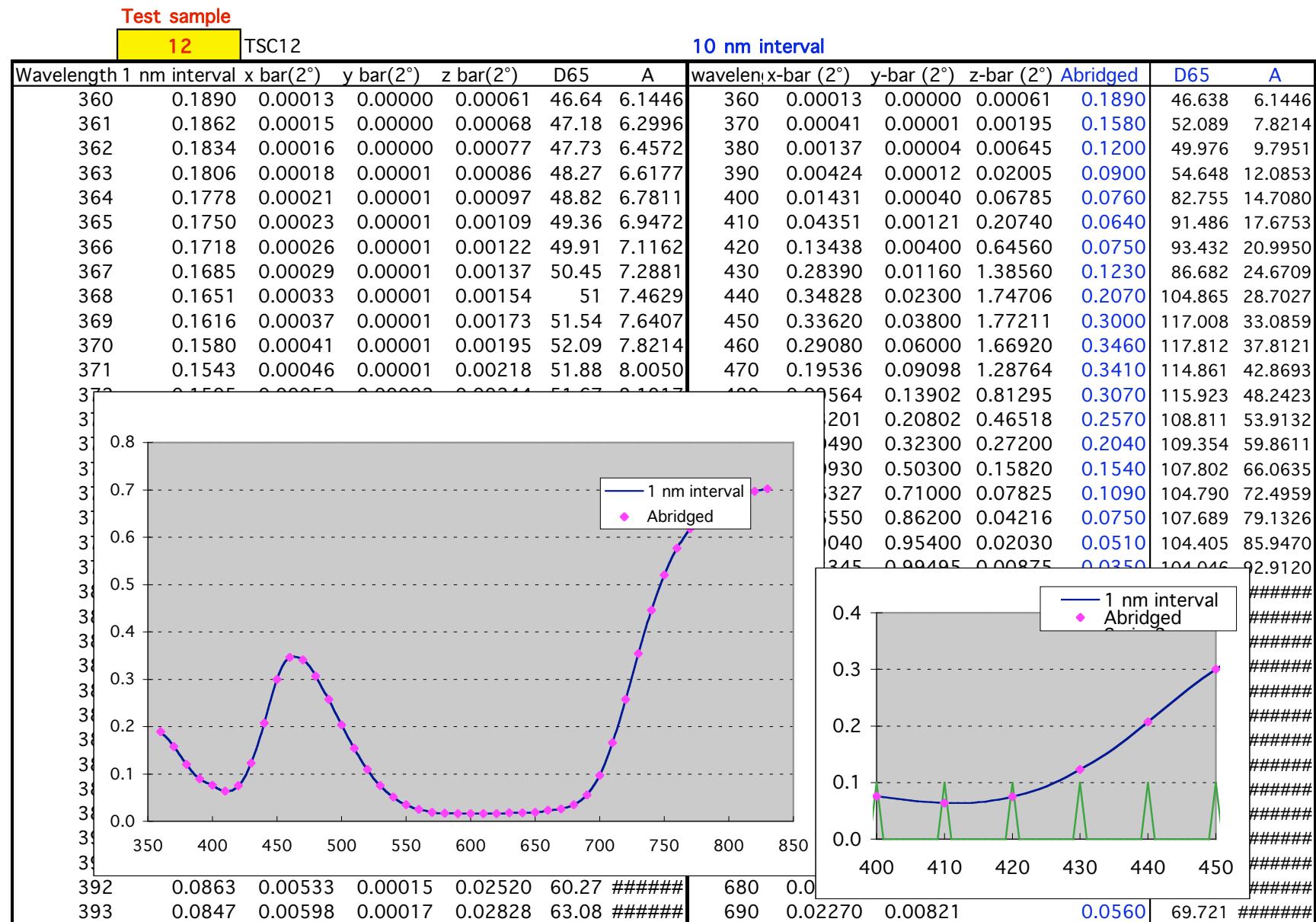
$$\Delta u\Delta v = \{(u_{\text{measured}} - u_{\text{true}})^2 + (v_{\text{measured}} - v_{\text{true}})^2\}^{1/2}$$

$$\Delta E^*_{ab}$$

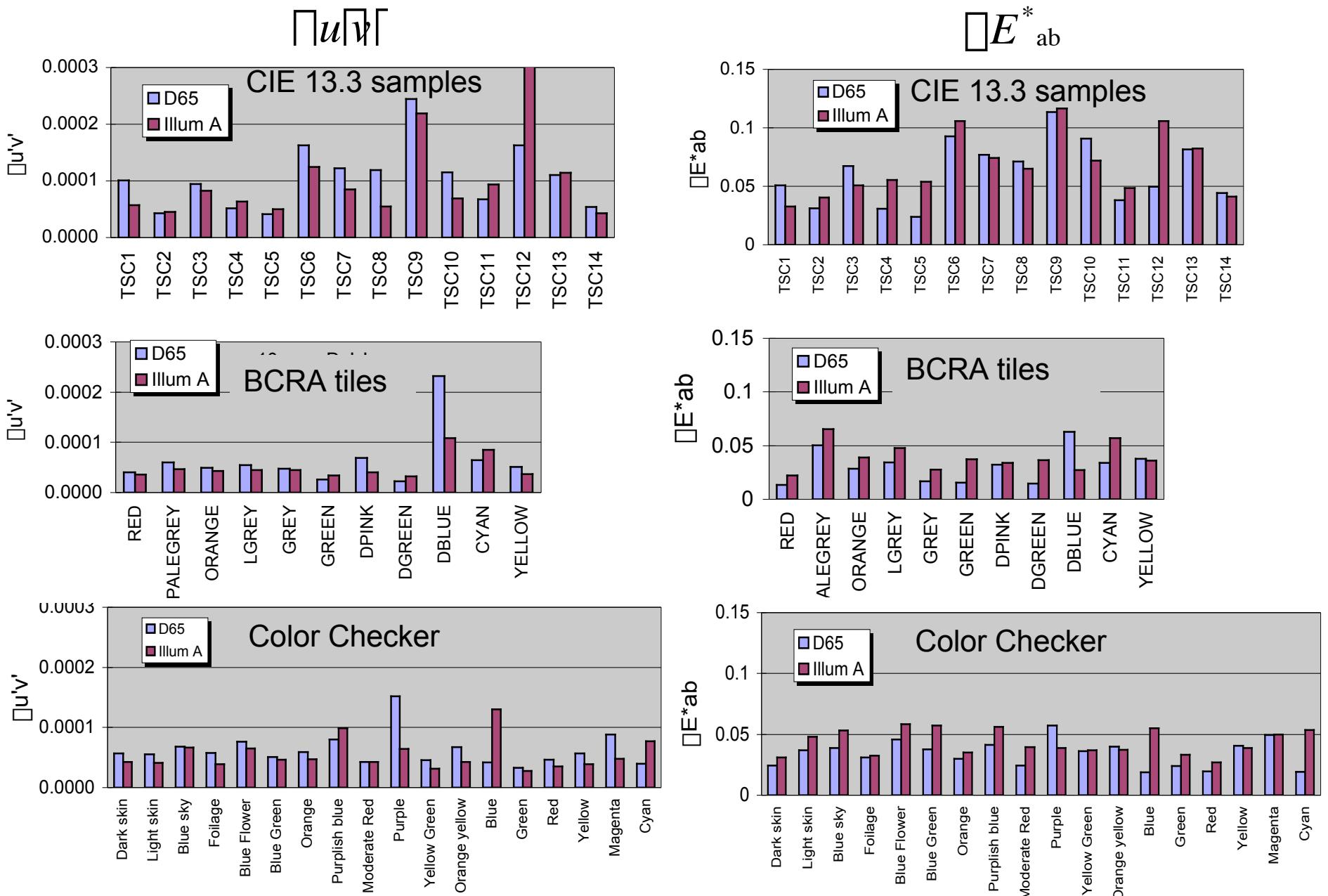
Range: 360 - 830 nm



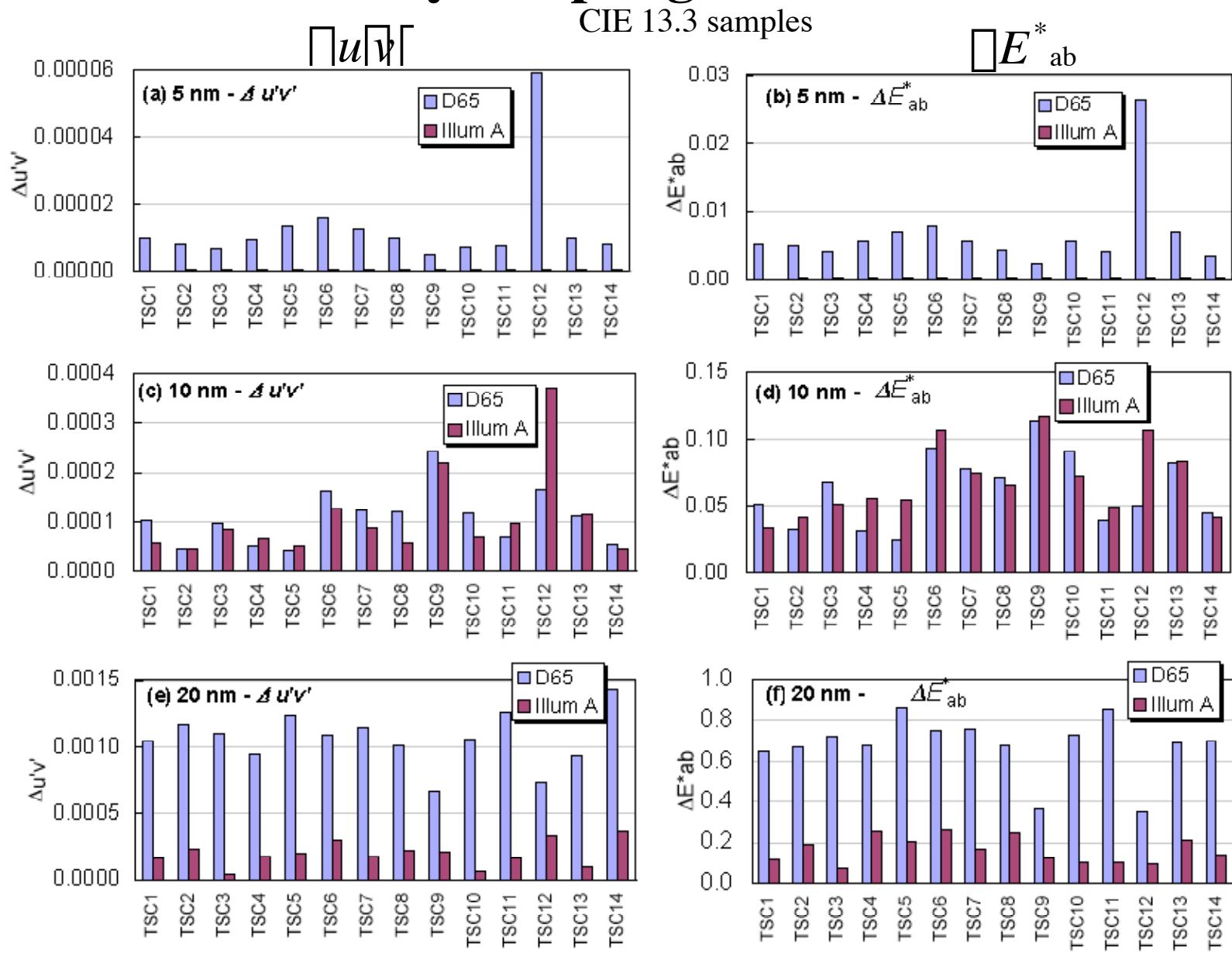
Analysis for sampling (data interval, abridgement)



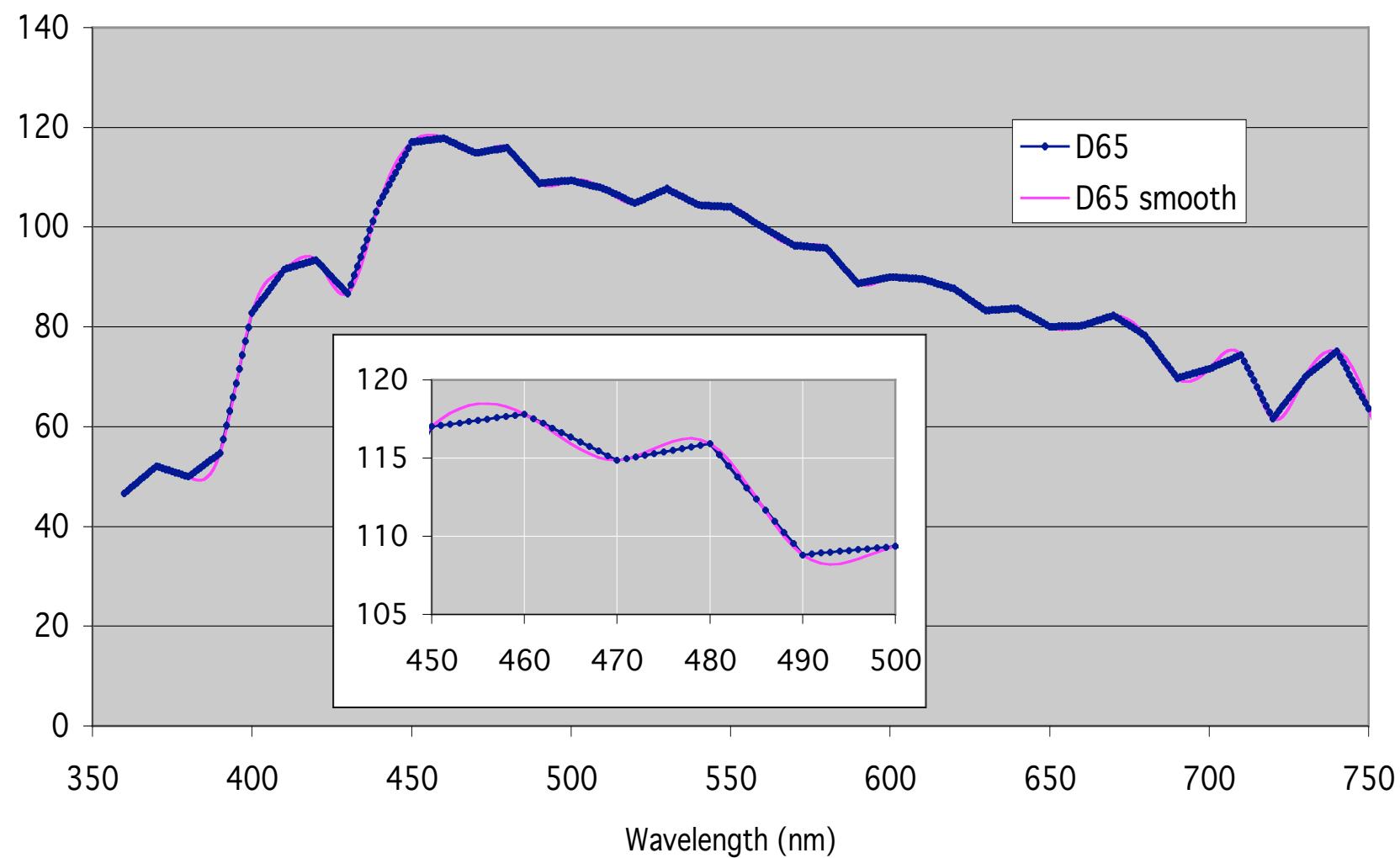
Errors caused by sampling at 10 nm interval



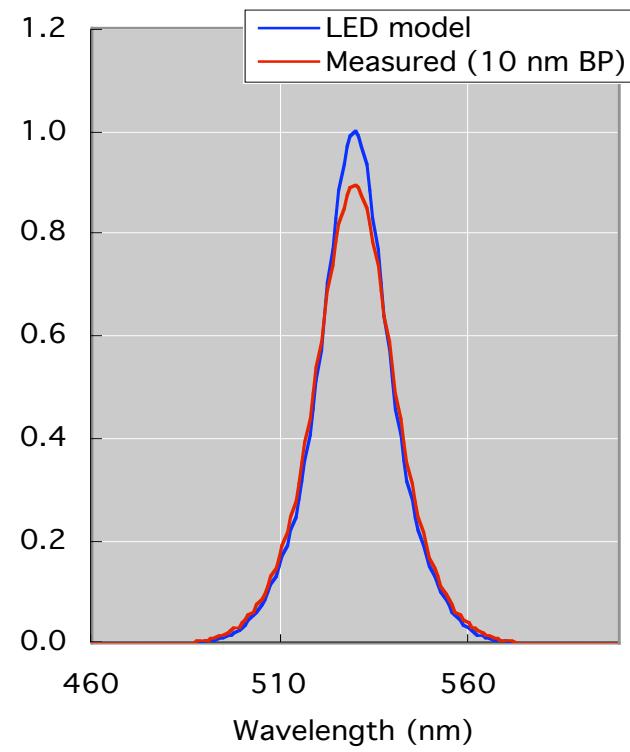
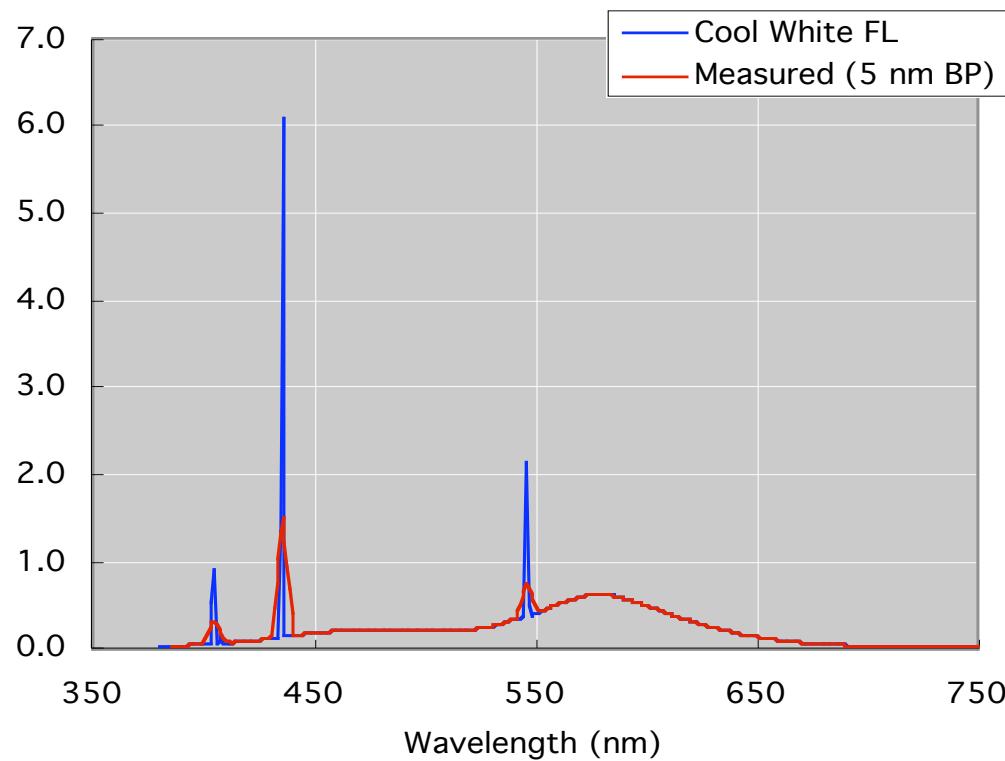
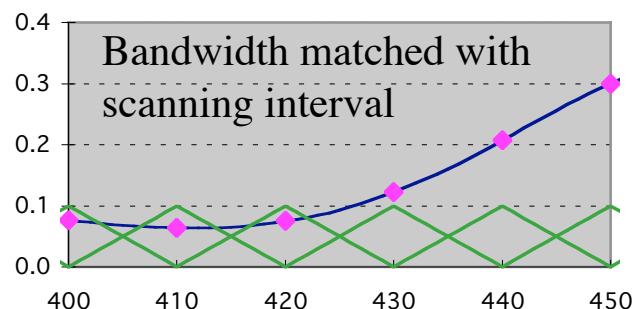
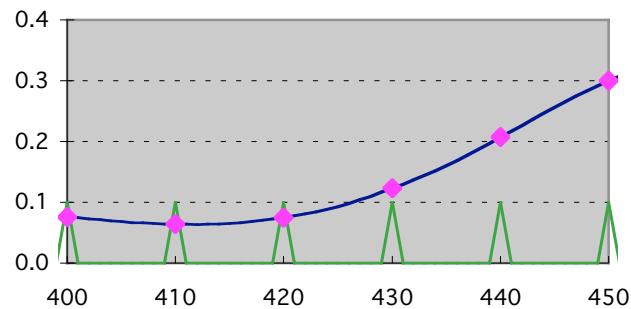
Errors caused by sampling at 5/10/20 nm intervals



D65

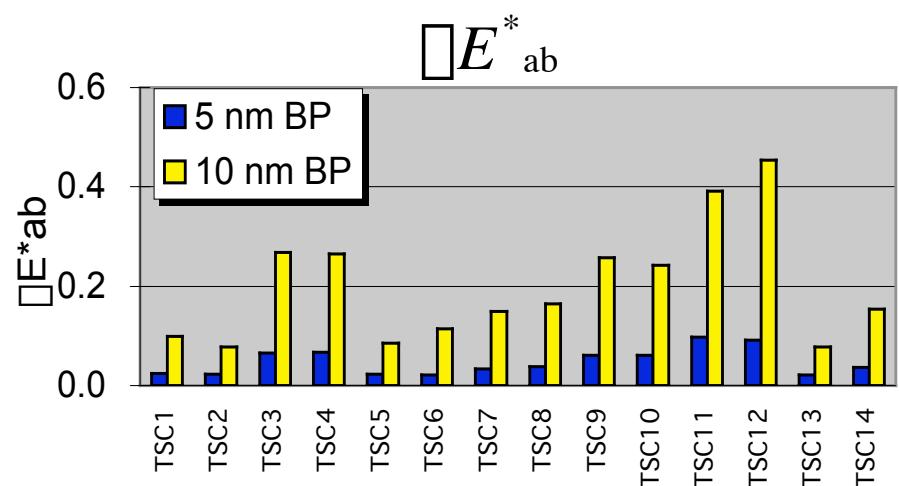
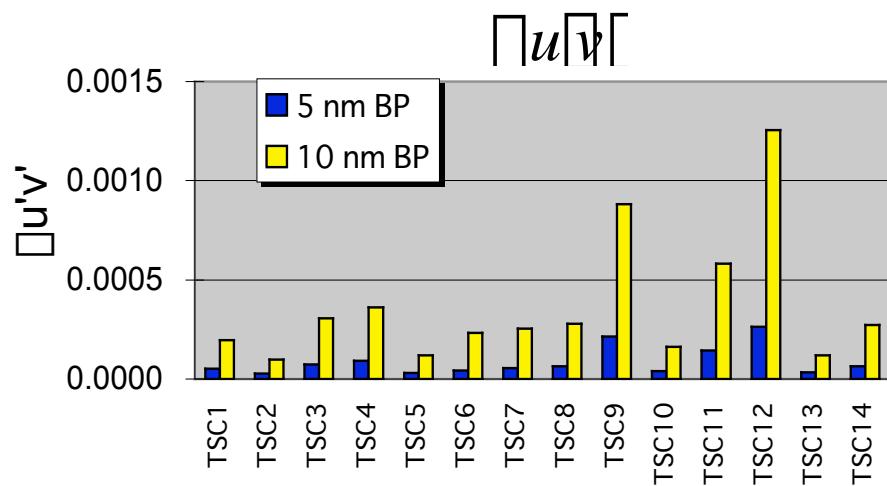


Broadening of spectra caused by bandpass

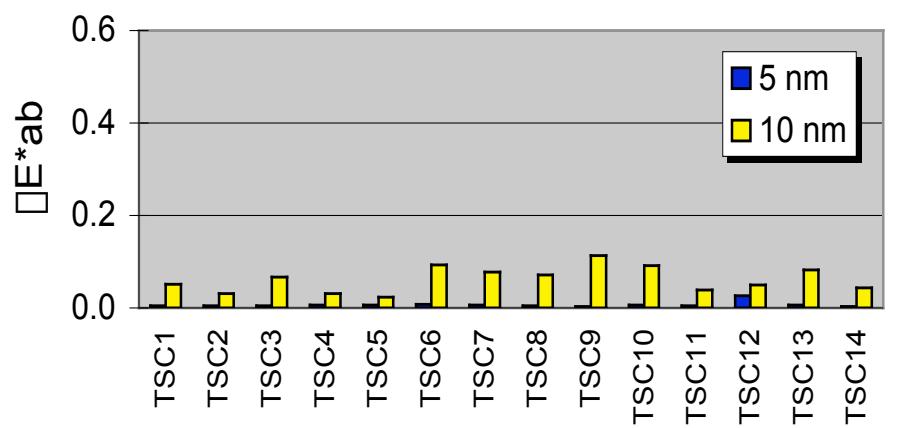
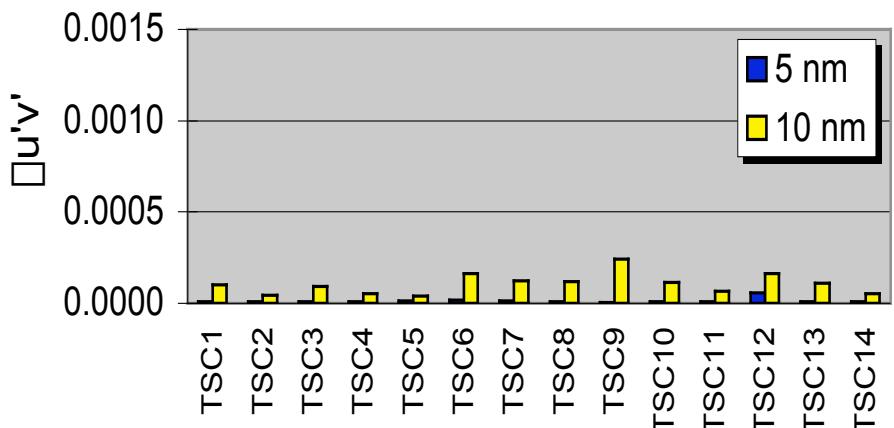


Errors due to bandpass - D65 reference, CIE13.3 samples -

Bandwidth matched with scanning interval

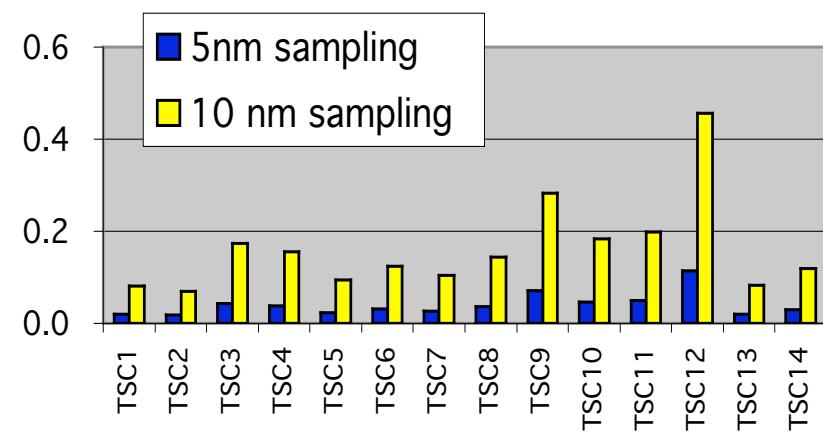
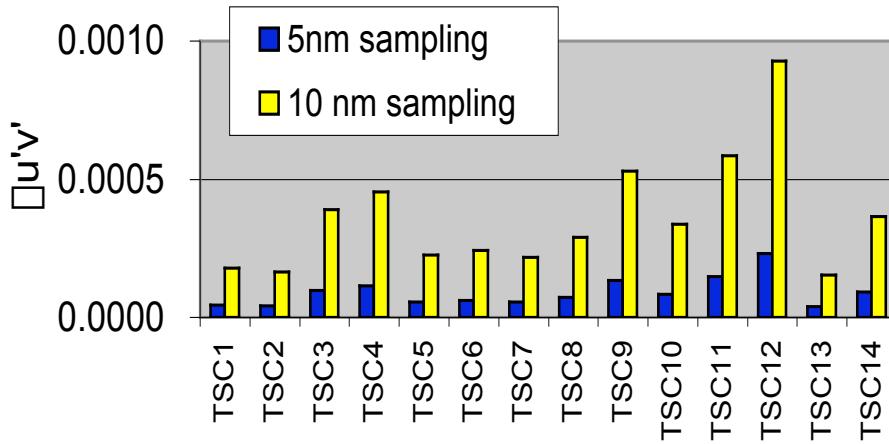


Errors due to sampling



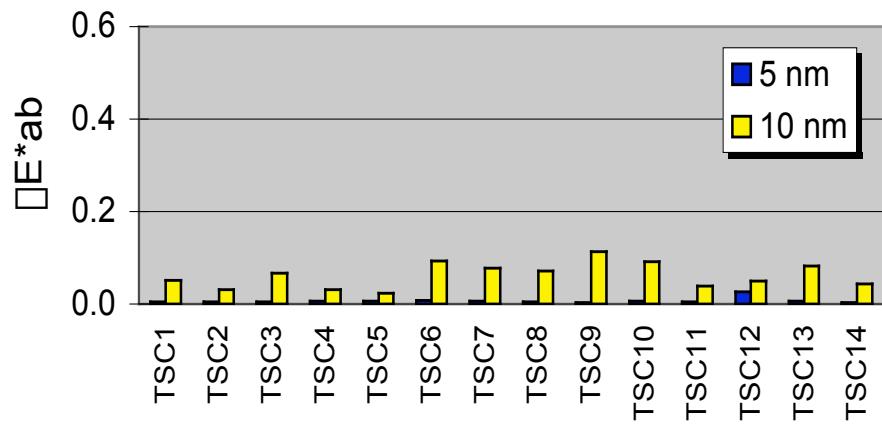
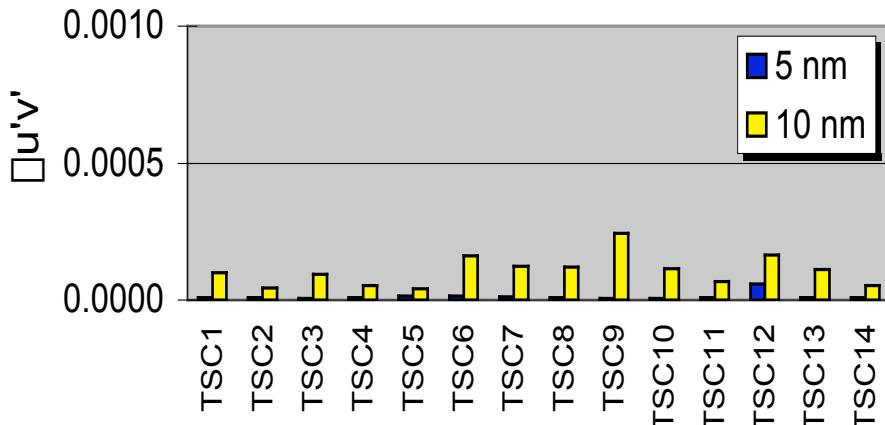
Uncertainties arising from 0.2 nm wavelength uncertainty

- D65 reference, CIE13.3 samples -



Y. Ohno, A Numerical Method for Color Uncertainty, Proc. CIE Expert Symposium 2001 on Uncertainty Evaluation, Jan. 2001, Vienna, Austria, 8-11 (2001)

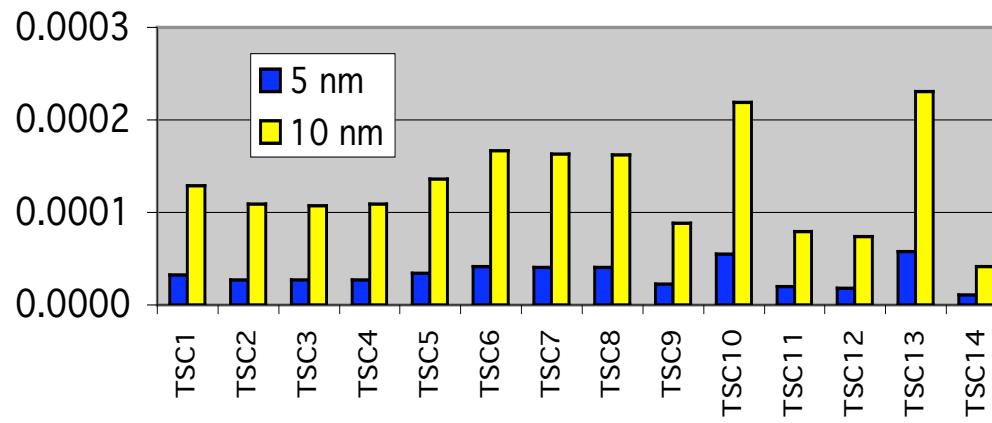
Errors due to sampling at 5/10 nm intervals



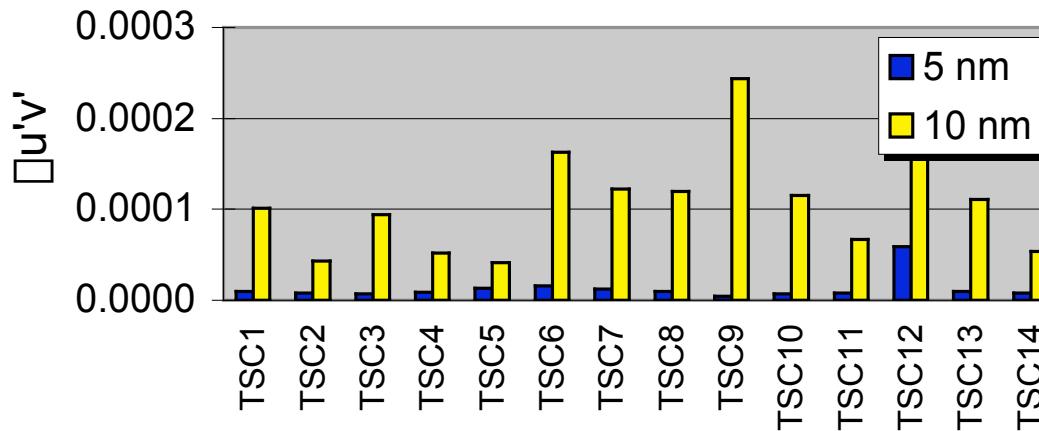
Uncertainties arising from 0.1 % noise in $S(\square)$

- D65 reference, CIE13.3 samples -

No correlation
between values
of $S(\square)$

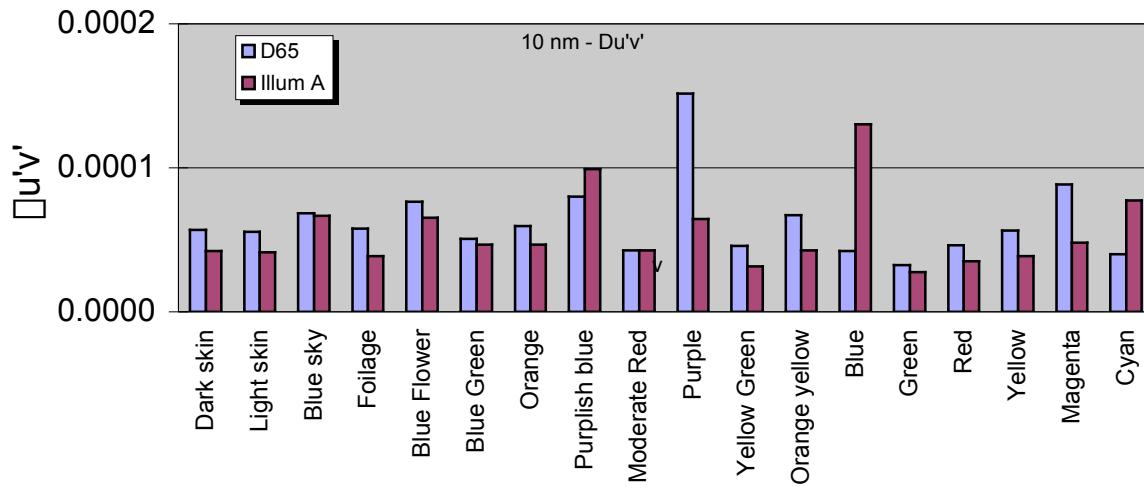


Errors due to sampling

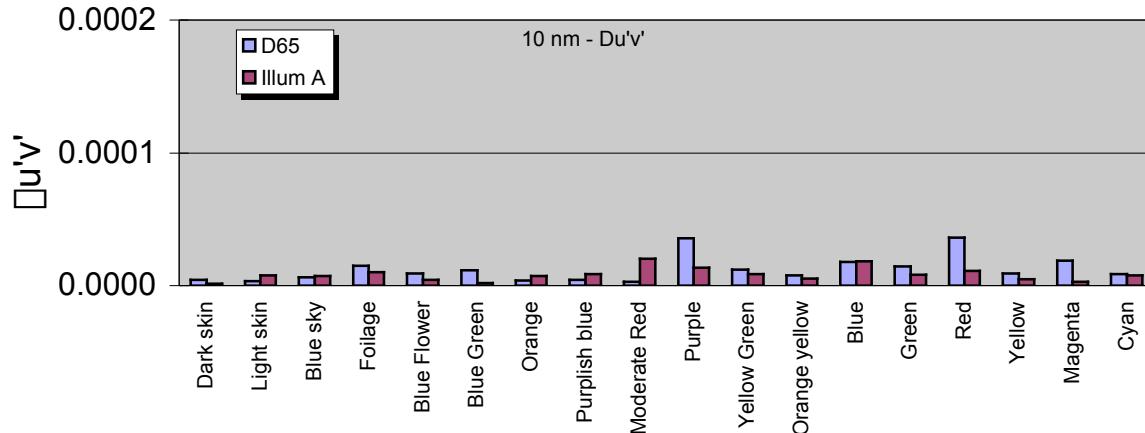


ASTM E308 (Table 5)

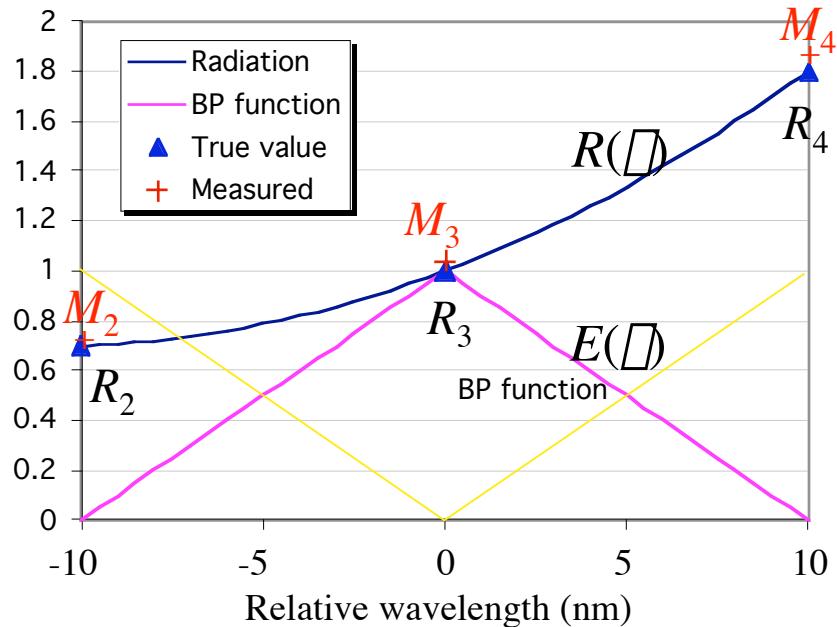
Original Error : 10 nm sampling / D65 ref / Color Checker



Using ASTM E308 Table 5



Stearns and Stearns' method for bandpass correction (CR&A 1988)



- This method gives results for zero bandwidth.
- Applies only to a triangular bandpass with perfectly matched scanning intervals.

$$R(\Delta) = \left(\frac{R_2}{2} - R_3 + \frac{R_4}{2} \right) \Delta^2 + \left(\frac{R_4}{2} - \frac{R_2}{2} \right) \Delta + R_3$$

Measured signal is given by

$$M_i = \int_{-\Delta/2}^{\Delta/2} R(\Delta) \cdot E(\Delta) d\Delta$$

where $E(\Delta)$ is the bandpass function.
Solving these equations,

$$M_3 = R_2/12 + 10 R_3/12 + R_4/12$$

$$M_2 = R_1/12 + 10 R_2/12 + R_3/12$$

$$M_4 = R_3/12 + 10 R_4/12 + R_5/12$$

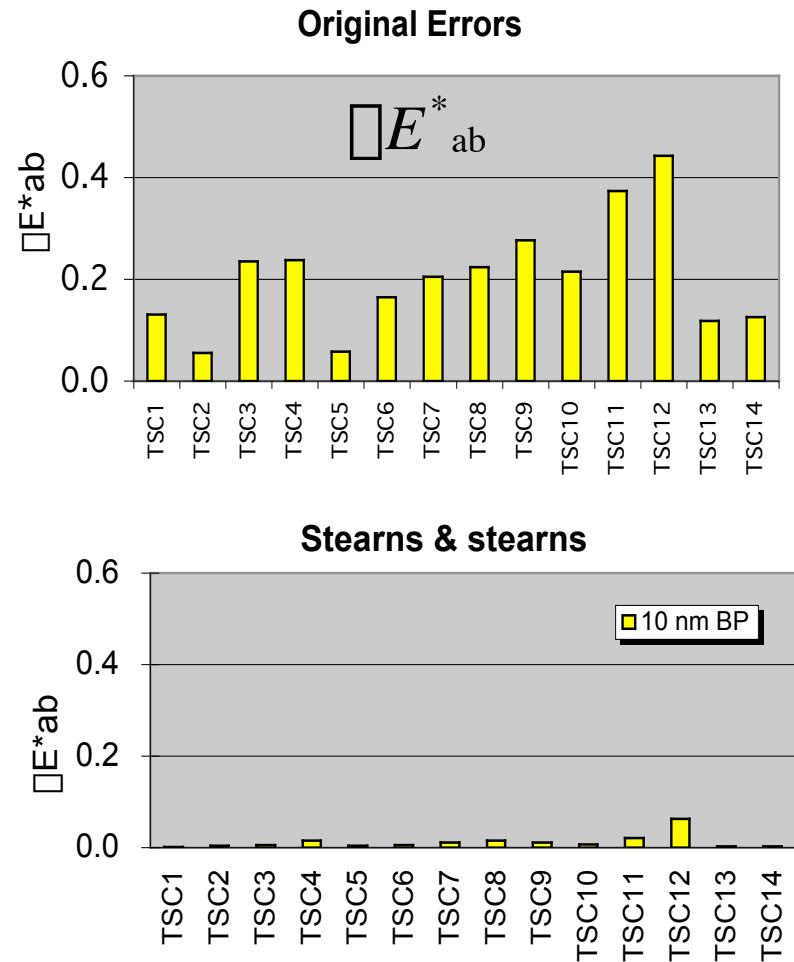
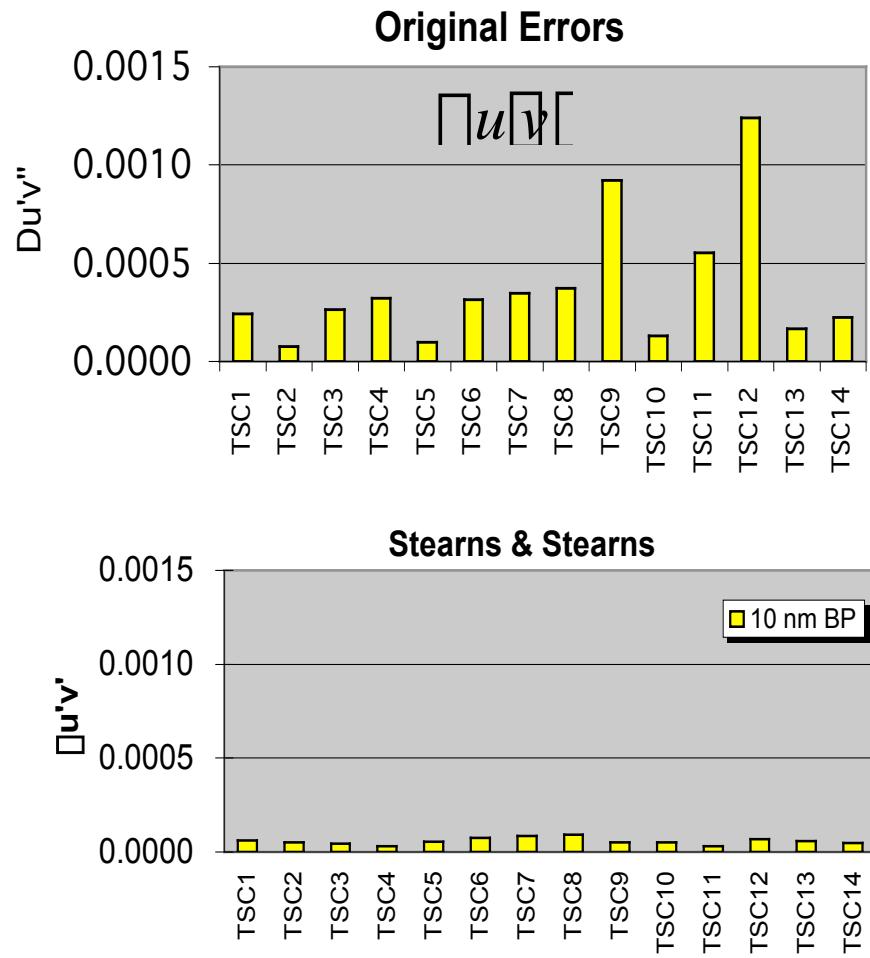
By approximation $R_1 \approx M_1$, $R_5 \approx M_5$,

$$R_3 = \frac{1}{98} M_1 + \frac{12}{98} M_2 + \frac{120}{98} M_3 + \frac{12}{98} M_4 + \frac{1}{98} M_5$$

Correction for bandpass errors by Stearns & Stearns

–10 nm triangular bandpass / 10 nm interval –

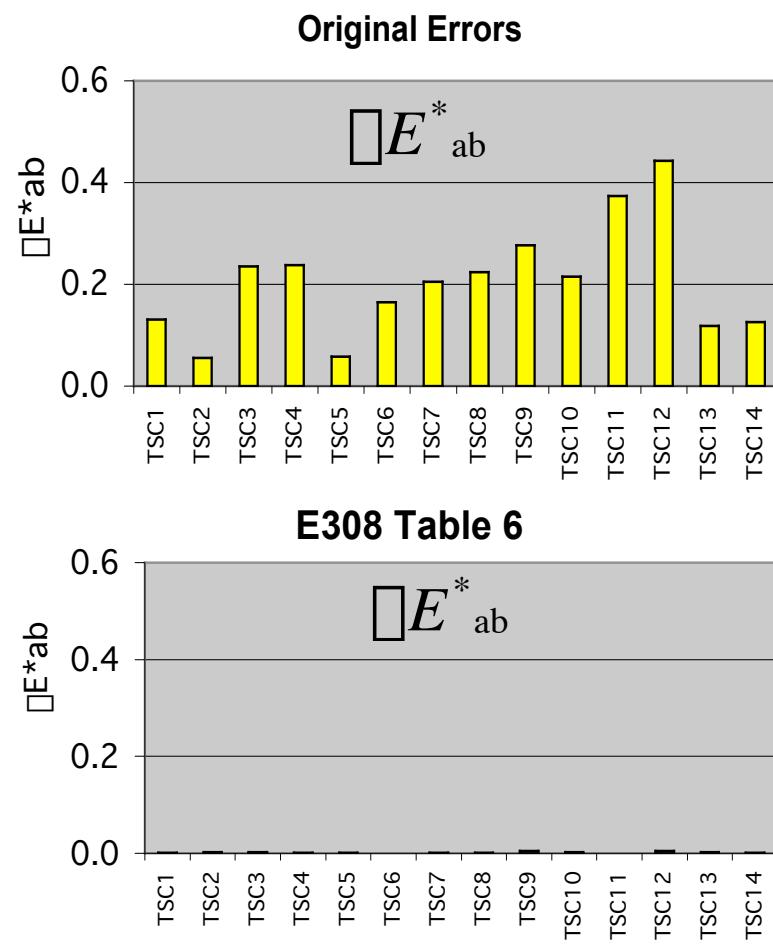
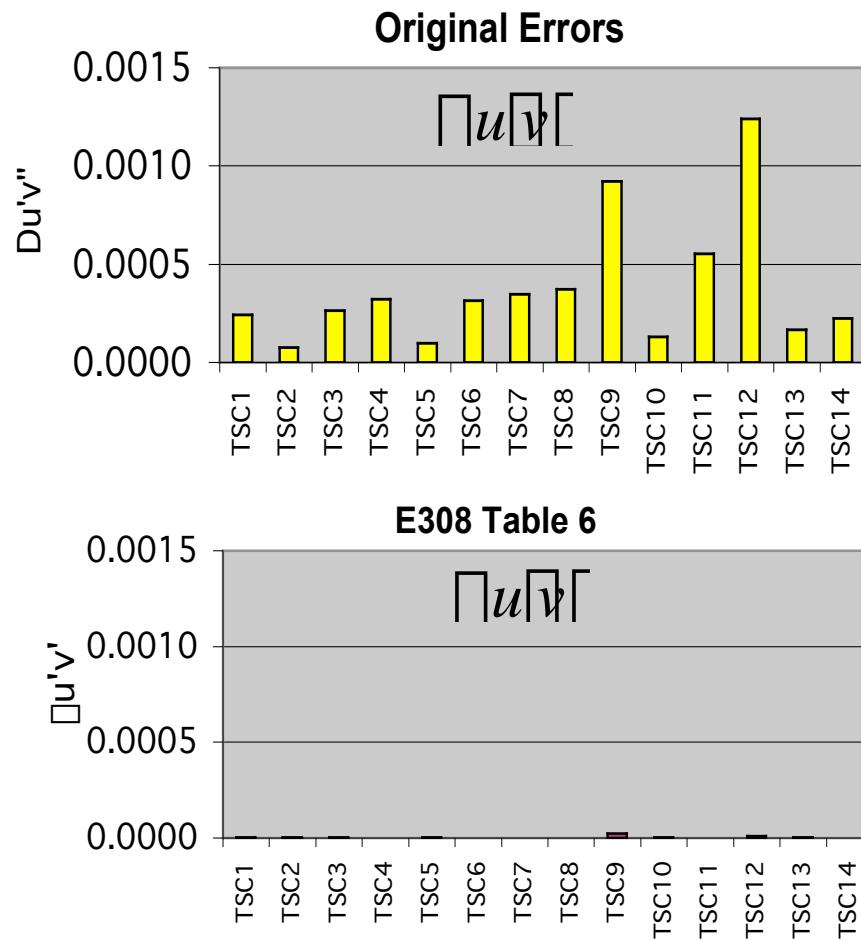
D65 reference



Correction for bandpass errors - ASTM E308 (Table 6)

–10 nm triangular bandpass / 10 nm interval –

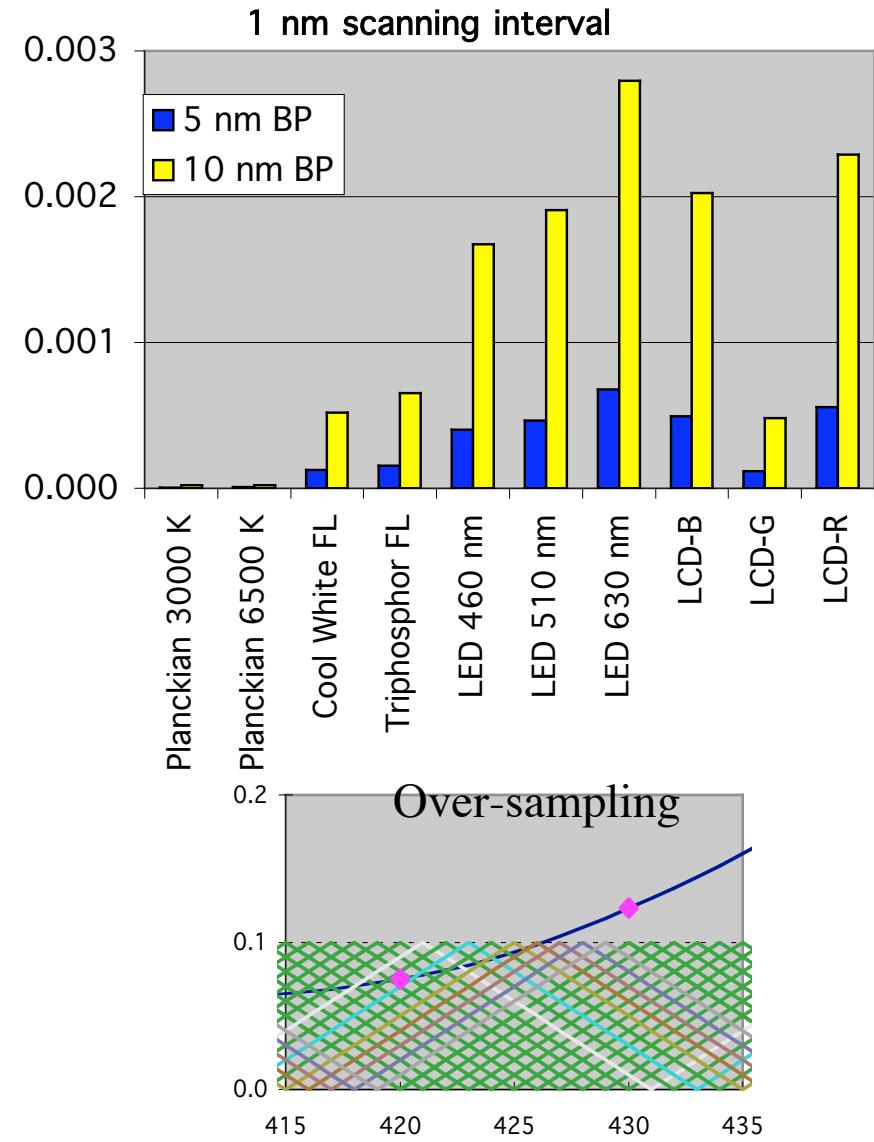
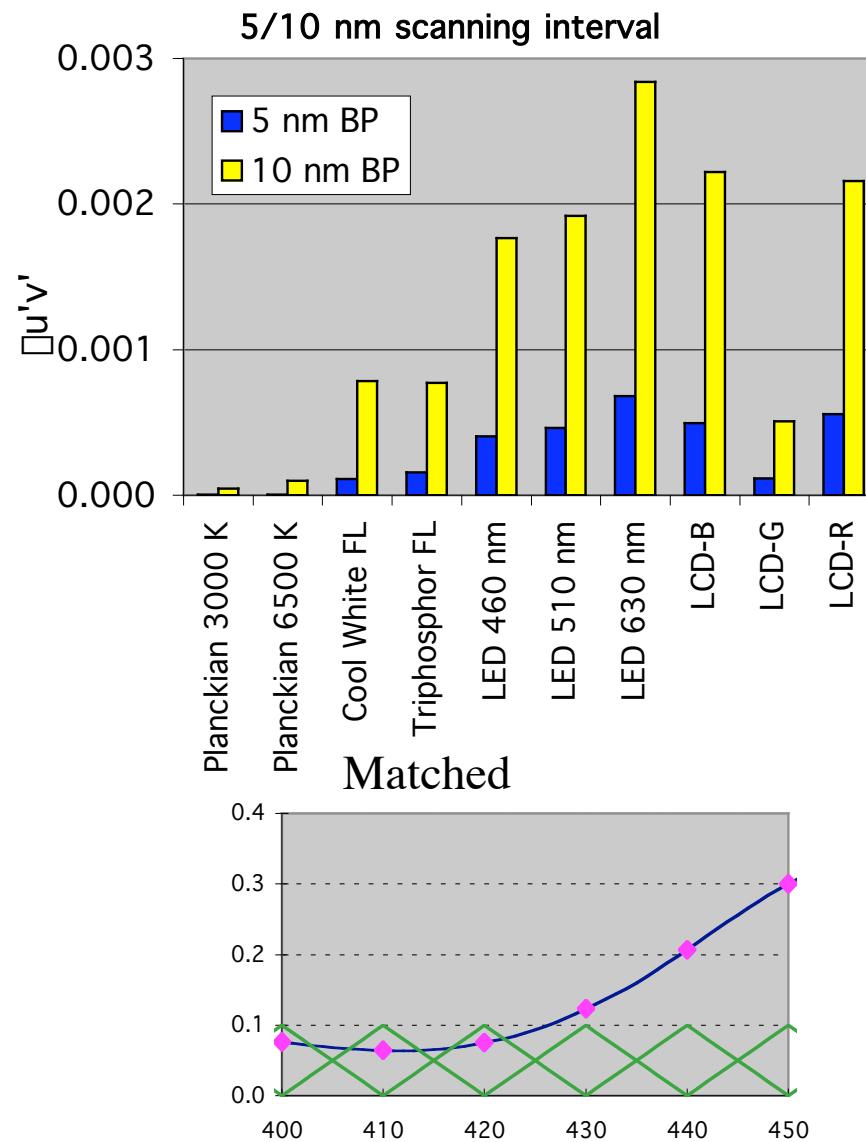
D65 reference



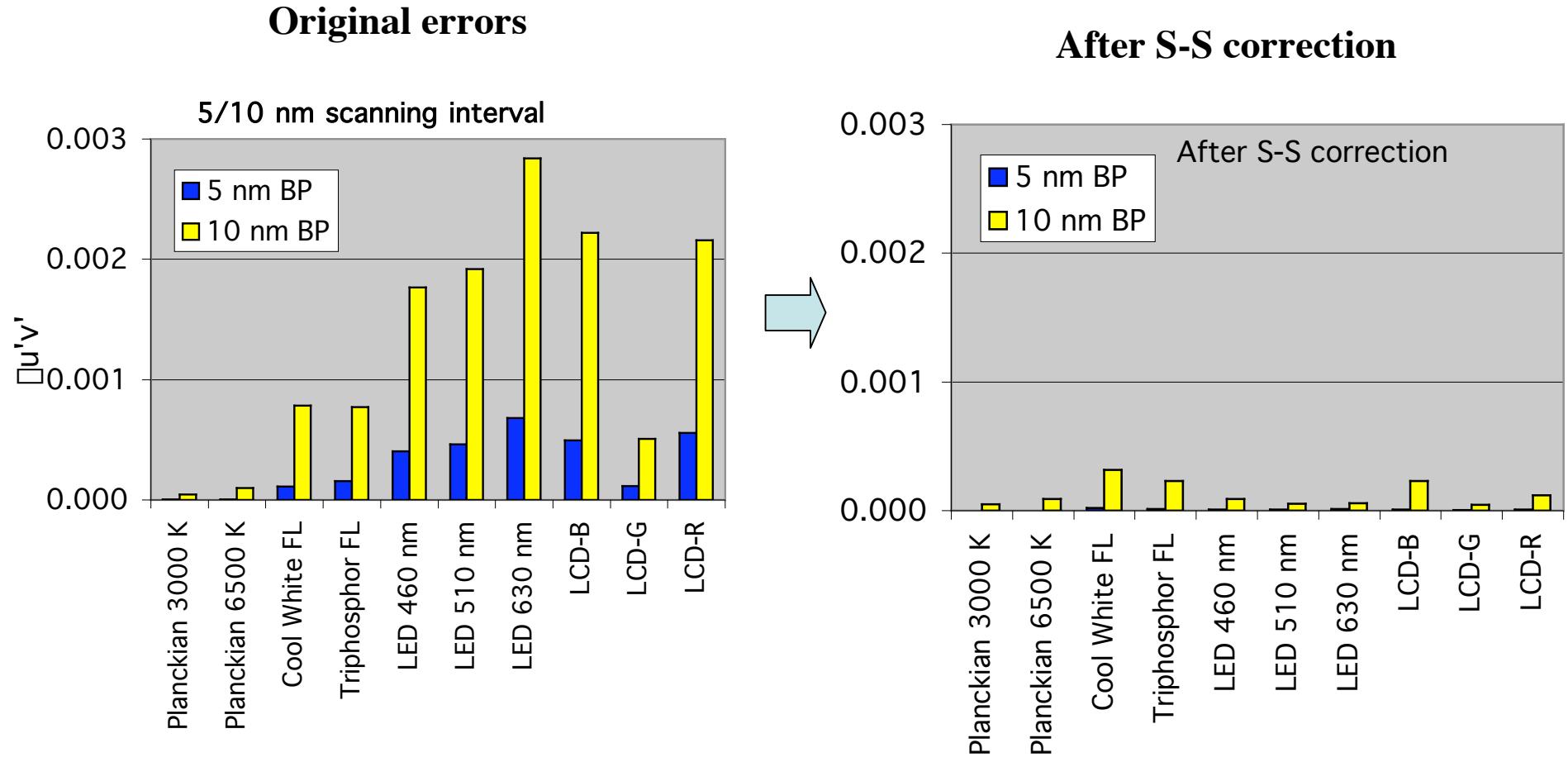
- Real measurements will not be this perfect.

For Light Sources

– Errors due to bandpass of spectrometer –

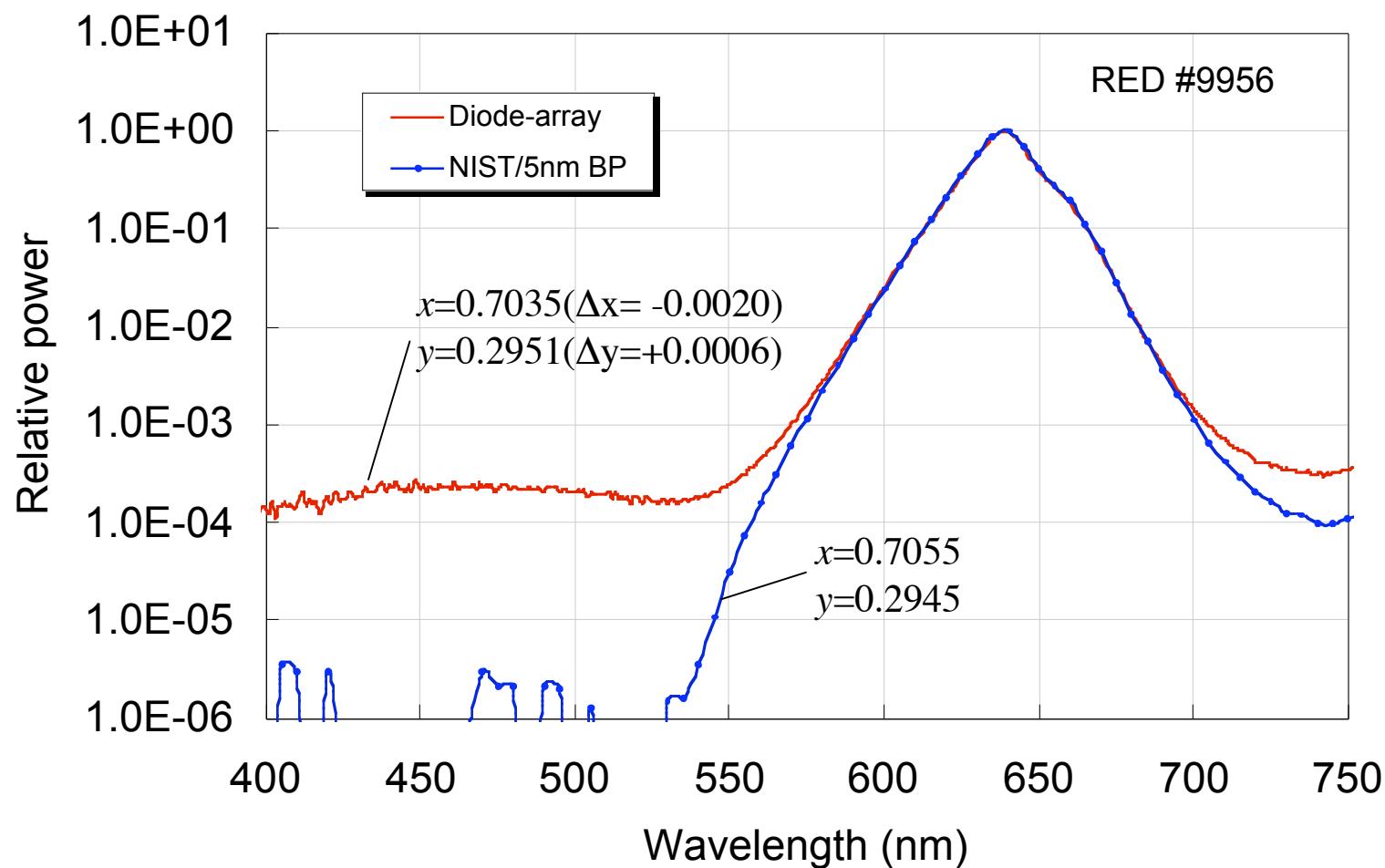


Correction by Stearns and Stearns' method



In real instruments, correction will not be this perfect.

Effect of Stray Light in the Monochromator



Conclusions

- 5 nm bandpass / 5 nm interval or smaller is acceptable for most practical color measurements (with no need for any corrections).
- Bandpass error (>5 nm), if not corrected, is the dominant source of error for colorimetry.
- Stearns and Stearns' method (or ASTM E308 Table 6 for object color) is very effective for both object color and light sources.
- Bandwidth and sampling interval must be matched for the S-S method or ASTM E308 Table 6. (not easy for all wavelengths)
- For intervals $\mid \leq 5$ nm, sampling errors are practically negligible. There is no need for interpolation.
- Sampling intervals > 5 nm are not recommended for high accuracy applications (even though ASTM E308 tables are used) because of larger errors due to other components.

Message

Look at overall uncertainty of measurement, not just data interval alone.

Don't spend energy on calculation errors that are negligibly small (compared with other components).

Spectrometer parameters are tied to the design of instruments and are chosen for intended applications.

Unnecessary standardization (over-specification) on these parameters should be avoided.